



**ACKNOWLEDGEMENT**

Certified that this selling agent/3i Asset Management Company Ltd. has received a request for transforming \_\_\_\_\_ Units of 3i AMCL 1<sup>st</sup> Mutual Fund from \_\_\_\_\_ to \_\_\_\_\_

Seal and Date issuing Office

Transfer No: \_\_\_\_\_

\_\_\_\_\_  
Authorized Signature  
(Name & Designation)

**TERMS AND CONDITIONS**

1. The Units may be transferred by way of inheritance/gift and /or specific of the law. In case of transfer, the fund will charge a nominal fee as decided by 3i Asset Management Company Limited from time to time except in the case of transfer by way of inheritance.
2. Transfer of Units is allowed through the Asset Manager.
3. The Units will be transferred on all working days except the last working day of the week and during the book closing period/record date of the fund.
4. The total number of Units held by a single certificate is required to be transferred at a time.
5. The confirmation of Unit Allocation(s) of the transferor is/are required to be attached with the transfer Form.
6. The Unit certificate(s), the unit holder(s) intend to transfer is/are required to be attached with the transfer form.
7. After verification of authenticity of the transferor's confirmation of Unit allocation (s) as well as the information provided in the transfer Form, the asset Manager will deliver the new confirmation of Unit Allocation in the name of Transferee within a period of seven working days.
8. The condition applicable for initial confirmation of Unit Allocation will apply even after transfer of Units in the name of Transferee.

**FOR OFFICE USE ONLY**

Transferee Registration No: \_\_\_\_\_ Transfer No: \_\_\_\_\_ Date: \_\_\_\_ / \_\_\_\_ / \_\_\_\_

Confirmation of Unit Allocation No: \_\_\_\_\_ No of Units: \_\_\_\_\_ Certificate No: \_\_\_\_\_

Seal and Signature of issuing Office

I/We, the said transferee, have received the above-mentioned Confirmation of Unit Allocation and do hereby agree to accept and take the said confirmation of Unit Allocation on the same terms and conditions on which they were held by the said transferor.

Signature of Transferee

Date: \_\_\_\_ / \_\_\_\_ / \_\_\_\_